

12/20/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on December 20, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL896843872US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Signed: _____

Neely Jo Weldy

Attorney Docket No. LAM2P314

First Named Inventor: Yehiel GOTKIS

1017 U.S. PTO
10/029192



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐

Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
Yehiel GOTKIS; Aleksandar OWCZARZ; and Rod KISTLER

For: **METHOD AND APPARATUS FOR CHEMICAL MECHANICAL PLANARIZATION**

Application Elements:



27 Pages of Specification, Claims and Abstract



10 Sheets of Drawings (Informal)



02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:



Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)



37 CFR 3.73(b) Statement by Assignee



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Return Receipt Postcard



Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)



Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>SMALL ENTITY</u>	<u>OR</u>	<u>LARGE ENTITY</u>
			<u>RATE</u> <u>FEE</u>		<u>RATE</u> <u>FEE</u>
BASIC FEE			\$370 \$	OR	\$740 \$740
TOTAL CLAIMS	<u>20</u> -20 = <u>0</u>		x09= \$	OR	x18 = \$
INDEP CLAIMS	<u>3</u> -3 = <u>0</u>		x42 = \$	OR	x84 = \$
[] Multiple Dependent Claim Presented			\$140= \$	OR	\$280 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total \$	OR	Total <u>\$740</u>

☒ Check No. 6244 in the amount of \$780.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed that may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P314).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.


General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P314).

☒ Please send correspondence to the following address:

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Date: December 20, 2001



Joe A. Brock, II, Esq.
Registration No. 46,021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)
GOTKIS et al.) Examiner: Unassigned
Application No. [To be assigned]) Art Unit: Unassigned
Filed: December 20, 2001) Docket No. LAM2P314
For: METHOD AND APPARATUS FOR CHEMICAL) Date: December 20, 2001
MECHANICAL PLANARIZATION)

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Signed: _____


Neely Jo Weldy

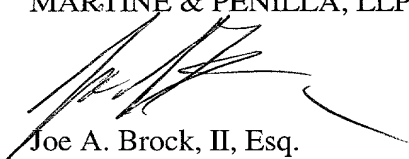
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,
MARTINE & PENILLA, LLP


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